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JC997 U.S. PTO

JC997 U.S. PTO
09/19/5563
10/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:
Fong Piau and James Ong

PATENT

SERIAL NUMBER: **To be Assigned**
Express Mail No.: **EF236429534US**
FILED: **UNKNOWN**

ART UNIT NO.: **UNKNOWN**

EXAMINER: **UNKNOWN**

FOR: **An Apparatus And Architecture For
A Compact Flash Memory Controller**

ATTORNEY DOCKET NO.:
FLEX1812

San Jose, California
October 9, 2001

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on October 9, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EF236429534US**, addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231

Elia Salinas
Type or printed name of person mailing paper or fee
Elia Salinas
Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Fong Piau and James Ong**

For: **An Apparatus And Architecture For A Compact Flash Memory Controller**

October 9, 2001

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Original
☐ Design
☐ Plant
☐ Divisional.
☐ Continuation.
☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

- A. Required for filing date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application.

10 Pages of specification

5 Pages of Claims

4 Sheets of drawing

☐ formal

☒ informal

- B. Other Papers Enclosed

1 Page of Abstract

4. Additional papers enclosed

- ☐ Preliminary Amendment
☐ Information Disclosure Statement (37 CFR 1.98)
☐ Form PTO-1449
☐ Citations
☐ Declaration of Biological Deposit

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☐ Special Comments
☐ Other

5. **Declaration or oath**

☐ Enclosed
executed by (check **all** applicable boxes)
☐ Inventor(s).
☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
☐ joint inventor or person showing a proprietary interest on behalf of
Inventor who refused to sign or cannot be reached.
☐ this is the petition required by 37 CFR 1.47 and the
statement required by 37 CFR 1.47 is also attached. See
item 13 below for fee.
XX Not enclosed.
☐ Application is made by a person authorized under 37 CFR 1.41(c)
on behalf on *all* the above named inventors
☐ Showing that the filing is authorized 37 CFR 1.41(d)

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

XX The same
or
☐ Are not the same. An explanation, including the ownership of the various
claims at the time the last claimed invention was made,
☐ is submitted
☐ will be submitted

7. **Language**

X English
☐ non-English
☐ the attached translation is a verified translation, 37 CFR 1.52(d)

8. **Assignment**

XX An assignment of the invention to **Flex-P Industries.**

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_____ is attached. A separate XX "COVER SHEET FOR ASSIGNMENT
(DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION"
or _____ FORM PTO 1595 is also attached.
XX will follow.

9. **Fee Calculation (37 CFR 1.16)**

A. X Regular Application

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$370.00
Total			
Claims (37 CFR 1.16(c))	22 -20=	2 x \$9.00	\$18.00
Independent			
Claims (37 CFR 1.16(b))	0 - 3=	x \$42.00	\$0.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		\$140.00	

- _____ Amendment canceling extra claims enclosed.
_____ Amendment deleting multiple-dependencies enclosed.
_____ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$388.00

- B. _____ Design Application
(\$290.00-37 CFR 1.16(f))
Filing Fee Calculation \$ _____
- C. _____ Plant Application
(\$460.00-37 CFR 1.16(g))
Filing Fee Calculation \$ _____

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10. **Small Entity Statement(s)**

XX Verified Statement(s) that this is a filing by a small entity under 37 CFR1.9 and 1.27 is(are) [] attached XX will follow:

___ Status as a small entity was claim in prior application
___ / _____, filed on _____, from which
benefit is being claimed for this application under:

35 U.S.C. ___ 119(e),
___ 120,
___ 121,
___ 365(c),

and which status as a small entity is still proper and desired.

___ A copy of the statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above) \$ 388.00

11. **Request for International-Type Search (37 C.F.R. 1.104(d))**

___ Please prepare an international-type search report for this application at the time when the national examination on the merits takes place.

12. **Fee Payment Being Made At This Time**

XX Not Enclosed

XX No filing fee is to be paid at this time.

(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

___ Enclosed in the form of a check

___ basic filing fee \$388.00

___ recording assignment
(\$40.00; 37 CFR 1.21(h)) \$ 40.00

___ petition fee for filing by other than all
inventors or person on behalf of the
inventor where inventor refused to sign
or cannot be reached. (\$130.00;
37 CFR 1.47 and 1.17(h)) \$ _____

___ for processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$ _____

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_____	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
_____	fee for international-type search report (\$40.00; 37 CFR 1.21(e))	\$ _____

Total Fees Enclosed \$.00

13. **Method of Payment of Fees**

Check in the amount of \$.00, Check No.: _____.

14. **Instructions as to Overpayment**

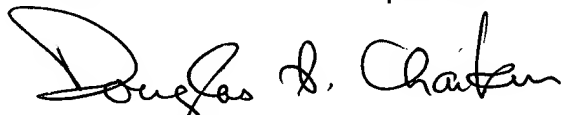
XX Refund overpayment via first class mail to the undersigned.

15. **Statement Where No Further Pages Added**

XX This transmittal ends with this page.

Respectfully submitted,

PENINSULA IP GROUP
A Professional Law Corporation



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